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9-13-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 113025.120US2)

In re Application of: Lee et al.) Examiner: To Be Assigned

Serial No. 10/043,896) Group Art Unit: 2882

Filing Date: January 9, 2002)

For: HIGH DENSITY INTEGRATED OPTICAL CHIP

TC 2800 MAIL ROOM
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Date: 8/26/02

Heather K. May
Heather May

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing in the above-identified patent application are the following documents:

1. Information Disclosure Statement (2 pages);
2. Form PTO-1449 (5 pages);
3. References as Cited (121);
4. Certificate of First Class Mailing, and;
5. Return Postcard.

No fees are believed to be due in connection with this submission. However, please charge any fees which might be due or credit any overpayment to our Deposit Account No. 08-0219.

Respectfully submitted,

Joseph F. Haag
Joseph F. Haag, Reg. No. 42,612
Attorney/Agent for Applicants

Date: 8-26-02
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Heather May
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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants submit herewith the references on the attached form PTO-1449, copies of which are enclosed. This information disclosure statement is being filed under 37 C.F.R. § 1.97 (b) before the mailing date of the first Office Action, therefore no fee is believed to be due.

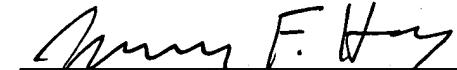
This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed documents are prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed documents do not constitute prior art under United States law, Applicants reserve the right to present to the Officer the relevant facts and law regarding the appropriate status of such documents.

It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication.

U.S. Patent Application No. 10/043,896
Page Two

Please charge any fees which might be due in connection with this matter to Deposit Account No. 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,



Joseph F. Haag, Reg. No. 42,612
Attorney/Agent for Applicants

Date: 8-26-02

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